Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	00
4. Investment Name:	Treasury-Wide Enterprise Content Management (ECM) Solution -- Planning Phase
5. UPI:	015-00-01-13-01-7155-24

6. What kind of investment will this be in FY2008?
Planning
7. What was the first budget year this investment was submitted to OMB?
FY2008
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.
Islands of information now exist with no central Enterprise-Wide Content Management (ECM). To successfully develop a strategic enterprise-wide solution, every Treasury Bureau and office, including up to 2,705 components plus EA components, must be analyzed in FY 07. Treasury will take a phased approach to a solution for all of Treasury. The ECM Program Office (ECMPO) will conduct a study and thoroughly plan a Department-wide process in FY 2007, which identifies Department-wide requirements, ECM systems and to be retired and resultant savings, considering licensing and reuse to reduce total cost of ownership.
9. Did the Agency's Executive/Investment Committee approve this request?
yes
9.a. If "yes," what was the date of this approval?
2006-08-09
10. Did the Project Manager review this Exhibit?
yes
11. Project Manager Name:
Kearney, Keith
Project Manager Phone:
202 927-6788
Project Manager Email:
Keith.Kearney@do.treas.gov
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
yes
12.a. Will this investment include electronic assets (including computers)?
yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no
13. Does this investment support one of the PMA initiatives?
yes
If yes, select the initiatives that apply:
Expanded E-Government
13.a. Briefly describe how this asset directly supports the identified initiative(s)?
Creating Bargaining Leverage through identification of a common ECM suite allowing organizations with similar needs to work together in negotiating with vendors and contractors while still maintaining competition (i.e., no single vendor has a

monopoly on Treasury's business) in alignment with the PMA E-Government Initiative. The Treasury ECM governance committee is reviewing the National Information Exchange Model as adopted by LoB for Case Mgmt at DoJ, expanding E-Gov.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
no	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 3	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	13
Software	26
Services	56
Other	4

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	
Name	
Dale Underwood	
Phone Number	
(202) 622-0876	
Title	
Privacy Act Officer	
Email	
Dale.Underwood@do.treas.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007

Planning Budgetary Resources	0.000	1.072	0.627
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	0.000	0.000	0.000
Government FTE Cost	0.000	0.000	0.000
# of FTEs	0	0	8

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

yes

2.a. If "yes," how many and in what year?

Eight FTEs are required to manage this investment. They will be required during FY2007 for planning and acquisition activities and in following years for rollouts to additional offices/bureaus. Hiring should occur early in FY2007.

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

BY08 is the first year that this investment was submitted to OMB as a major E-300.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Mission and Business Results	Information Management	100 active users on the pilot for the Consolidated ECM Solution	No active users on the pilot for the Consolidated ECM Solution	10% improvement in quality of information for Consolidated ECM Solution	To Be Determined (TBD).
2	2007	Customer Results	Response Time	10% improvement in average response time for FOIA requests for Bureaus and Offices using Consolidated ECM Solution	Average 136 days	10% improvement in average response time for FOIA requests for Bureaus and Offices using Consolidated ECM Solution	TBD
3	2007	Customer Results	Integration	25% of all content in the document bases (and other repositories) of	Planned System, no actual users	25% of all content in the document bases (and other repositories) of	TBD

				the Bureaus and Offices using the Consolidated ECM Solution accessible to authorized users in digital format		the Bureaus and Offices using the Consolidated ECM Solution accessible to authorized users in digital format	
4	2007	Mission and Business Results	Record Retention	Enterprise-wide Records Management regime established for Treasury and validated with NARA	Planned System, no actual users	Unknown at this time	TBD
5	2007	Mission and Business Results	Lifecycle/Change Management	ECM Project Office commences Application Analysis and Internal Component Analysis	No Applications or Components analyzed	3 leading COTS/GOTS applications fully analyzed; 60 internal components fully analyzed	TBD
24	2007	Technology	Overall Costs	Technology Components not yet identified	Planning	Not yet established	TBD

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Consolidated Department of Treasury Enterprise Content Management Solution

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %

1	BPD Investment One	A COTS mainframe investment accounting system for recording and reporting investment and trust fund activity . It allows online processing and reporting.-wide reconciliation/elimination process. It allows online processing and reporting.	Financial Management	Billing and Accounting	Data Mart	015-35-01-14-01-1001-00-402-128	Internal	0
2	BPD Oracle federal Financial Systems	A web-enabled COTS application that provides an integrated, JFMIP-certified accounting, budgeting, and reporting system that enables financial management of budget execution, purchasing, accounts payable, accounts receivable, disbursements, property management, and external reporting.. Provides Oracle services to both Treasury and non-Treasury agencies on a competitive fee-for-service basis.	Financial Management	Billing and Accounting	Content Authoring	015-35-01-01-01-1126-24-402-124	Internal	0
3	BPD Public Debt and accounting and reporting system	Financial and security accounting for the national debt of the United States. Performs financial and securities accounting, interest and principal payments, and timely debt reporting.	Financial Management	Debt Collection	Content Authoring	015-35-01-14-01-1004-00-402-124	Internal	0
4	BPD Savings bond replacement system SaBRe	Processes cash and security transactions resulting from the sale and redemption of accrual savings bonds. Supports accrual and current-income savings bond accounting.	Customer Relationship Management	Sales and Marketing	Classification	015-35-01-14-01-1005-00-402-124	Internal	0
5	Integrated Data Retrieval System (IDRS)	Allocated Tips receives IRP input from paper documents keyed through data entry system.	Knowledge Management	Information Sharing	Classification	015-45-01-14-01-2252-00-315-180	Internal	0
6	BPD Special purposes securities system (spss)	Provides online ability to process transactions of state and local government and special-purpose securities.	Financial Management	Billing and Accounting	Document Retirement	015-35-01-14-01-1006-00-402-124	Internal	0
7	BPD Treasury Direct	Provides customers Internet access to account information to	Document Management	Classification	Classification	015-35-01-14-01-	Internal	0

#								
		buy and reinvest Treasury securities. Expands the availability and the number of services accessible to customers electronically.				1002-00-402-129		
8	IRS Business Master File Outputs (BMF OUTPUTS)	Business Master File Outputs (BMF OUTPUTS)	Document Management	Classification	Classification	015-45-01-14-01-2402-00-315-181	Internal	0
9	IRS Business Master File Pre-Posting (BMF PRE-POSTING)	Business Master File Pre-Posting (BMF PRE-POSTING)	Document Management	Classification	Classification	015-45-01-14-01-2402-00-315-180	Internal	0
10	IRS Centralized Authorization File (CAF)	Centralized Authorization File (CAF)	Records Management	Document Classification	Classification	015-45-01-14-01-2252-00-315-180	Internal	0
11	FinCEN BSA Direct	Bank Secrecy Act (BSA)	Content Management	Content Authoring	Content Authoring	015-04-01-14-01-1005-00-115-047	Internal	0
12	FinCEN BSA Direct E-filing system	BSA Direct Connect is an investment by FinCEN to undertake the task of developing a flexible; robust and scalable query system, which will be accessible through an Internet site utilizing at a minimum, SSL technology.	Knowledge Management	Knowledge Distribution and Delivery	Document Imaging and OCR	015-04-01-14-01-1003-00-115-046	Internal	0
13	FinCEN Cross border funds transmittal	Cross Border Funds Transmittal (CBFT)	Document Management	Document Imaging and OCR	Document Imaging and OCR	015-04-01-12-01-1010-00-115-047	Internal	0
14	FinCEN Secure outreach network including trans	Secure Information Sharing	Knowledge Management	Knowledge Distribution and Delivery	Document Conversion	015-04-01-14-01-1001-00-115-047	Internal	0
15	Mint COINS ERP System	Collection Information System (COINS)	Document Management	Indexing	Document Conversion	015-25-01-01-01-1004-00-405-144	Internal	0
16	Mint Retail sales system	RSS (eSP changed to RSS after 1/15/2005)-	Customer Relationship	Sales and Marketing	Classification	015-25-01-11-	Internal	0

	RSS	CWDirect	Management			01-1002-00-307-118		
17	IRS Filing Information Returns Electronically (FIRE)	Receives incoming electronic files from external trading partners, passes files to the Automated Magnetic Media Processing System (MAG, AMMPS). Receives statistics back from MAG and posts them to an Informix database.	Knowledge Management	Information Retrieval	Document Conversion	015-45-01-14-02-2322-00-315-180	Internal	0
18	IRS Individual Master File Notices (IMF NOTICES)	This system receives IMF master file settlement notice records. It validates and edits these records to produce T/P, CAF, RAF, campus notices and transcripts. IMF Notices includes run numbers 460-28, 460-3A, 460-3E, 460-3F, NRP41, NRP42, NRP45, NRP62, NRP64, NRP66, NRP68, and NRP63	Knowledge Management	Information Sharing	Classification	015-45-01-14-01-2401-00-315-180	Internal	0
19	IRS Information Returns Master File Processing (IRMF)	RMF processing creates a master file of current tax year information returns and maintains access to five prior years. Beginning in July of each year, extracts are made from the entire IRMF weekly. The primary customers for this information are Examination, Collection, Federal/State Relations, Criminal Investigation and Research. Data is also provided to Federal and State Agencies. A VSAM version of the file is maintained; this data is accessed using the IRMF maintained CICS command code IRPTR.	Document Management	Library / Storage	Library / Storage	015-45-01-14-01-2400-00-315-183	Internal	0
20	IRS Integrated Financial System (IFS)	Implements the core processes of General Ledger, Accounts Payable, Accounts Receivable, Budget Execution, Cost Accounting, Administrative Tax and Travel Accounting, Cost Performance Management Allocations, some Tax	Financial Management	Billing and Accounting	Library / Storage	015-45-01-01-01-4688-00-402-124	Internal	0

		Processing Functionality, Budget Formulation, and Budget Execution Decision Support with an integrated Enterprise						
21	Modernized e-File (MeF)	The future of electronic filing with the IRS. The project's goal is to replace the current outdated filing technology with a modernized e-File system and provide an Internet based e-Filing platform that any IRS form can use. This will allow the retirement of current processing environment (CPE) systems and non-modernized projects, such as, Telefile	Records Management	Record Linking / Association	Record Linking / Association	015-45-01-14-01-2216-00-315-180	Internal	0
22	IRS Integrated Submission and Remittance Processing (ISRP)	The project provides transaction detail reports and audit trails for all FY cash receipts from IMF, BMF and IRAF and NMF files. These include ISRP & OCR deposits. Reports created include collections on FICA & Excise tax trust funds. FMIS replaced Master File Accounting (MFA). This is an OMB reported Financial Management System.	Financial Management	Internal Controls	Document Imaging and OCR	015-45-01-14-01-2216-00-315-180	Internal	0
23	Appeals Centralized Database System (ACDS)	Records Management (Document Retirement)	Records Management	Document Retirement	Document Retirement	015-45-01-14-01-2216-00-315-180	Internal	0
24	Information Returns Processing (IRP)	Payer Master File Processing (PMF)	Financial Management	Payroll	Library / Storage	015-45-01-14-01-2400-00-315-184	Internal	0
25	Section 527 Internet Filing and Display	IRS Political Organization Filing and Disclosure Website (PAC, POFD)	Knowledge Management	Information Sharing	Document Imaging and OCR	015-45-01-14-02-2358-00-315-180	Internal	0
26	IRS Service Center Recognition Image Processing System	SCRIPS is the Service Center input imaging and OCR system that scans and captures tax data from simple one-sided tax returns.	Document Management	Document Imaging and OCR	Document Imaging and OCR	015-45-01-14-01-2223-00-315-180	Internal	0

	(SCRIPS)	SCRIPS processes Information Returns Processing (IRP) documents and Federal Tax Deposits (FTD).						
27	Information Returns Processing Validation & Perfection	IRP Validation and Perfection processes information returns received on magnetic tape, electronically and paper. Input is received primarily from MAG and GMF, but also from the State Department (Passport documents), W2 Input processing (W2s), and from SSA (1099SSA/RRBs). Payer records are routed to the PMF and payee documents to the IRMF.	Document Management	Classification	Classification	015-45-01-14-01-2400-00-315-184	Internal	0
28	Counsel Automated Systems Environment - Management Information System	Case management and control operates under the Counsel Automated Systems Environment (CASE) including: various subsystems.	Tracking and Workflow	Case Management	Document Imaging and OCR	015-45-01-12-01-2265-00-315-180	Internal	0
29	IRS Statistics of Income Exempt Organizations Return Image Net (SEIN) - a component of SOI DPS	Statistics of Income Exempt Organizations Return Image Net (SEIN)	Knowledge Management	Information Sharing	Document Imaging and OCR	015-45-01-11-02-2240-00-315-180	Internal	0
30	IRS Tax Exempt Determination System (TEDS)	Tax Exempt Determination System (TEDS) functionality includes: scanning ; receipt, handling and automatic case closure of application packages; accessibility of case information in TEDS to Customer Account Services (CAS); generation of determination letters for cases that have been automatically closed in TEDS; interface with the EP/EO Determination System (EDS) and the Letter Information Network User System (LINUS); and limited Quality Assurance (QA) capabilities	Tracking and Workflow	Case Management	Document Imaging and OCR	015-45-01-11-02-2240-00-315-180	Internal	0
31	IRS Tax Return Data Base (TRDB)	Contains tax return source information for all electronically filed tax	Document Management	Library / Storage	Library / Storage	015-45-01-14-01-	Internal	0

		returns and BMF Magnetic Tape (MGT) Form 941 Returns. It also contains electronically filed tax forms. It is the legal repository for all electronically filed returns for Tax Year 1998 and beyond. Beginning with Tax Year 2002				2271-00-315-184		
32	Mint Documentum	Access, control, review, update, and distribute documents in a uniform manner across the Mint. It will also permit the Mint to scan, capture, and store existing documents.	Document Management	Document Conversion	Document Conversion	015-25-01-01-01-1004-00-405-144	Internal	0
33	Travel Reimbursement and Accounting Sys	Travel Reimbursement and Accounting System (TRAS, WebTRAS)	Knowledge Management	Information Sharing	Digital Rights Management	015-45-01-01-01-2257-00-401-122	Internal	0
34	Mint Documentum-WCMS	Content Management (Content Publishing and Delivery, Content Review and Approval)	Content Management	Content Publishing and Delivery	Content Publishing and Delivery	015-25-01-01-01-1004-00-405-144	Internal	0
35	Mint Enterprise Contracts Management System (Prism)	Supply Chain Management - Goods/Services Acquisition	Document Management	Library / Storage	Library / Storage	015-25-01-01-01-1004-00-405-144	Internal	0
36	Mint Extranet	Mint Internet, Intranet, and Extranet	Document Management	Classification	Classification	015-25-01-11-01-1000-00-305-109	Internal	0
37	Financial Management Information Systems	Financial Management Information System (FMIS)	Records Management	Document Classification	Document Classification	015-45-01-01-01-2425-00-402-129	Internal	0
38	OCC-03-Supervisory Information System	Supervisory Information System	Document Management	Library / Storage	Library / Storage	015-57-01-13-01-3001-00-105-013	Internal	0
39	Mint COINS ERP System	COINS-ERP-ADCS	Document Management	Library / Storage	Library / Storage	015-25-01-01-01-1004-00-405-	Internal	0

					144		
40	Licensing Information System (LIS)	Licensing Information System	Knowledge Management	Knowledge Distribution and Delivery	Document Imaging and OCR	015-57-01-13-01-3003-00-105-013	Internal	0
41	OCC EWIM - e-Publishing	E-Publishing supports electronic records management system and the Web Site of the Future initiative to produce Web	Content Management	Content Authoring	Content Authoring	015-57-01-13-01-3004-00-404-141	Internal	0
42	Workforce Effectiveness DM	SPARC Reporting Solution	Document Management	Library / Storage	Library / Storage	015-57-01-13-02-3049-00-403-255	Internal	0
43	Integrated Data Retrieval System (IDRS)	Centralized Files & Scheduling (CSP, CF&S)	Records Management	Record Linking / Association	Record Linking / Association	015-45-01-11-01-2218-00-315-180	Internal	0
44	Integrated Data Retrieval System (IDRS)	FTD Request (FTDRQ)	Document Management	Library / Storage	Library / Storage	015-45-01-11-01-2218-00-315-180	Internal	0
45	Integrated Data Retrieval System (IDRS)	Manual Account Transfers (MAN ACC TRANSFER, NSA082)	Document Management	Library / Storage	Library / Storage	015-45-01-11-01-2218-00-315-180	Internal	0
46	Integrated Data Retrieval System (IDRS)	Partnership Control System (PCS)	Document Management	Library / Storage	Library / Storage	015-45-01-11-01-2218-00-315-180	Internal	0
47	Integrated Data Retrieval System (IDRS)	Quality Control (QUALITY)	Content Management	Content Publishing and Delivery	Content Publishing and Delivery	015-45-01-11-01-2218-00-315-180	Internal	0
48	Integrated Data Retrieval System (IDRS)	Retention Register (RENT, NSA134)	Document Management	Library / Storage	Library / Storage	015-45-01-11-01-2218-00-315-180	Internal	0
49	OCC Large Banks Document Management (aka SIS-DM)	Records Management Document Classification	Records Management	Document Classification	Document Classification	015-57-01-13-01-3004-00-404-	Internal	0

					141		
50	Integrated Data Retrieval System (IDRS)	Delinquent Accounts and Returns (DAR) part of GMF	Document Management	Library / Storage	Document Revisions	015-45-01-11-01-2218-00-315-180	Internal	0
51	Integrated Data Retrieval System (IDRS)	Manual Account Transfers (MAN ACC TRANSFER, NSA082)	Content Management	Content Publishing and Delivery	Content Publishing and Delivery	015-45-01-11-01-2218-00-315-180	Internal	0
52	Integrated Data Retrieval System (IDRS)	Case Control Activity System (CCA, NSA075)	Content Management	Content Publishing and Delivery	Content Publishing and Delivery	015-45-01-11-01-2218-00-315-180	Internal	0
53	OTS ADM500 Electronic Records Management	Records Management (Record Linking / Association)	Records Management	Record Linking / Association	Record Linking / Association	015-58-01-11-02-2009-00-404-138	Internal	0
54	OTS ADM501 TRIM	OTS ADM501 TRIM	Records Management	Record Linking / Association	Record Linking / Association	015-58-01-11-02-2009-00-404-138	Internal	0
55	OTS ADM502 Box Tracking	OTS ADM502 Box Tracking	Records Management	Record Linking / Association	Record Linking / Association	015-58-01-11-02-2009-00-404-138	Internal	0
56	OTS ESS201 High Profile System	OTS ESS201 High Profile System	Document Management	Classification	Classification	015-58-01-11-02-2010-00-105-013	Internal	0
57	OTS ESS202 Electronic Continuing Exam Folder (ECEF)	OTS ESS202 Electronic Continuing Exam Folder (ECEF)	Content Management	Content Publishing and Delivery	Content Publishing and Delivery	015-58-01-11-02-2010-00-105-013	Internal	0
58	ADM305 FMFIA	ADM305 FMFIA	Document Management	Document Referencing	Document Referencing	015-58-01-11-02-2009-00-404-138	Internal	0
59	Integrated Data Retrieval System (IDRS)	Correspondence Letter System (CORRESPONDEX, CRX)	Document Management	Document Imaging and OCR	Document Imaging and OCR	015-45-01-11-01-2218-00-315-	Internal	0

					180		
60	Integrated Data Retrieval System (IDRS)	Daily Transaction Register (DTR)	Document Management	Document Imaging and OCR	Document Imaging and OCR	015-45-01-11-01-2218-00-315-180	Internal	0

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Case Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
2	Content Authoring	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
3	Content Review and Approval	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
4	Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
5	Content Publishing and Delivery	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
6	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	Windows 2003 Server and higher (with Sharepoint Services)
7	Document Referencing	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
8	Document Revisions	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
9	Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Microsoft SQL Server
10	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	IBM Websphere
11	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	BEA Weblogic
12	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Sun iPlanet
13	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Oracle 9iAS
14	Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM iSeries Server
15	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft IIS 6 and higher
16	Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Intel Pentium III server and higher
17	Content Authoring	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows 2000 and higher

18	Content Review and Approval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows 2000 and higher
19	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	Apache v2 and higher
20	Content Publishing and Delivery	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows 2000 and higher
21	Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Sybase Database Server
22	Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Sun Solaris 10 and higher platform
23	Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM AIX 5 and higher platform
24	Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	HP UX 11 and higher platform
25	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	HP UX 11 and higher platform
26	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM AIX 5 and higher platform
27	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Sun Solaris 10 and higher platform
28	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Intel Pentium III server and higher
29	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM iSeries Server
30	Document Review and Approval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Windows 2003 Server and higher (with Sharepoint Services)
31	Content Review and Approval	Service Platform and Infrastructure	Delivery Servers	Application Servers	Windows 2003 Server and higher (with Sharepoint Services)
32	Document Retirement	Service Platform and Infrastructure	Database / Storage	Storage	OpenText LiveLink ECM Archiving
33	Document Retirement	Service Platform and Infrastructure	Database / Storage	Storage	Documentum Records Management (Archiving)
34	Document Retirement	Service Platform and Infrastructure	Database / Storage	Storage	IBM Federated Records Management (for DB2 Content Manager)
35	Document Conversion	Service Interface and Integration	Interoperability	Data Transformation	IBM Websphere Information integrator (for DB2 Content Manager)
36	Document Revisions	Service Platform and Infrastructure	Delivery Servers	Application Servers	Windows 2003 Server and higher (with Sharepoint Services)
37	Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9 or higher
38	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software
39	Document Classification	Service Platform and Infrastructure	Delivery Servers	Application Servers	IBM DB2 Content Manager
40	Document Referencing	Service Platform and Infrastructure	Delivery Servers	Application Servers	EMC Documentum
41	Document Classification	Service Platform and Infrastructure	Delivery Servers	Application Servers	Windows 2003 Server and higher (with Sharepoint Services)
42	Document	Service Platform and	Delivery Servers	Application	Windows 2003 Server and higher

		Referencing	Infrastructure		Servers	(with Sharepoint Services)
43	Document Classification	Service Platform and Infrastructure	Delivery Servers	Application Servers	EMC Documentum
44	Document Referencing	Service Platform and Infrastructure	Delivery Servers	Application Servers	Open Text LiveLink
45	Document Classification	Service Platform and Infrastructure	Delivery Servers	Application Servers	OpenText LiveLink
46	Document Referencing	Service Platform and Infrastructure	Delivery Servers	Application Servers	IBM DB2 Content Manager
47	Document Referencing	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software
48	Document Referencing	Service Platform and Infrastructure	Delivery Servers	Application Servers	Hummingbird Enterprise ECM Software
49	Record Linking / Association	Service Platform and Infrastructure	Delivery Servers	Application Servers	EMC Documentum
50	Record Linking / Association	Service Platform and Infrastructure	Delivery Servers	Application Servers	Open Text LiveLink
51	Record Linking / Association	Service Platform and Infrastructure	Delivery Servers	Application Servers	IBM DB2 Content Manager
52	Record Linking / Association	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software
53	Record Linking / Association	Service Platform and Infrastructure	Delivery Servers	Application Servers	Hummingbird Enterprise ECM Software
54	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	Open Text LiveLink
55	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software
56	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	Hummingbird Enterprise ECM Software
57	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	Open Text LiveLink
58	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	Hummingbird Enterprise ECM Software
59	Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software
60	Document Retirement	Service Platform and Infrastructure	Delivery Servers	Application Servers	Tower TRIM Software

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

5.a. If yes, please describe.

Integration into the Department's Enterprise Architecture is fundamental to the planning of - and will be essential for execution of - the Consolidated ECM Solution. Treasury has numerous potentially reusable components delivering services in this area. Of particular value may be the enterprise-level imaging and OCR systems in use at IRS, together with other components designed for particular purposes within other Bureaus and Offices which may prove to have Enterprise-wide applicability. Informed reuse will require study, understanding, planning and execution by Treasury staff and external service providers. Treasury will also work to ensure that reuses applicable functionality from other Departments / Agencies - the Department has made a firm commitment to Electronic Government and has worked to gather information on other, similar initiatives (e.g., Department of Justice Case Management System,); it will work as well through this acquisition to leverage any proven components which can meet its documented needs.

6. Does this investment provide the public with access to a government automated information system?

no

PART TWO

RISK
You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.
Answer the following questions to describe how you are managing investment risks.
1. Does the investment have a Risk Management Plan?
yes
1.a. If yes, what is the date of the plan?
2006-09-21
1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
no
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)
The DRAFT RMP addresses both overt and potential embedded ECM functionality, which were weighted by recurring and non-recurring (sunk) costs. Potential cost avoidance has been consciously understated (reflecting only an hour per week time saving for each user of the Solution in Year 1 of operation at a maximum); and, other potential benefits have similarly been minimized, in an effort to reflect investment risks. Similarly, outlays in the "Status Quo" alternative reflect full amounts detailed by the Bureaus and Offices in their earlier separate submissions, and no other alternative has varied substantially from that amount. This was to reflect full costing and to be restrained in potential benefits, in an effort to deliver a conservative business case. A pilot project will be undertaken to minimize implementation risks..

COST & SCHEDULE
Does the earned value management system meet the criteria in ANSI/EIA Standard 748?
yes
2.a. What is the Planned Value (PV)?
1.072
2.b. What is the Earned Value (EV)?
1.072
2.c. What is the actual cost of work performed (AC)?
0.594
What costs are included in the reported Cost/Schedule Performance information?
Contractor and Government
2.e. As of date:
2006-06-30
3. What is the calculated Schedule Performance Index (SPI= EV/PV)?
1
4. What is the schedule variance (SV = EV-PV)?
0.000
5. What is the calculated Cost Performance Index (CPI = EV/AC)?
1.8
6. What is the cost variance (CV = EV-AC)?
0.478
7. Is the CV or SV greater than 10%?
yes
7.a. If yes, was it the CV or SV or both?
CV
7.d. What is most current Estimate at Completion?

61.453
8. Have any significant changes been made to the baseline during the past fiscal year?
no